

Attachment 3 – Operating Plan

Applicant Name	
Applicant Business Name	

Attestation of Understanding Future Requirements

- I attest that, upon receiving a conditional license and as a requirement to receive a full license, I will submit additional operating plan details in accordance with the requirements determined by the Commissioner.
- I attest that, upon receiving a conditional license and as a requirement to receive a full license, I will submit a plan to comply with guidance pertaining to marijuana issued by the Financial Crimes Enforcement Network under the Bank Secrecy Act (31 U.S.C. § 5311 et seq.). This plan may include letters regarding the applicant’s banking history from banks or credit unions that certify they are aware of the business activities of the applicant in any state where the applicant has operated a business related to personal use or medical marijuana.

1. Oversight Procedures:

Provide a plan for oversight procedures, including documentation of the reporting and management structure of the Marijuana Establishment.

Character Limit: 4,000



2. Safe Handling:

Provide procedures for the safe handling of marijuana plants, marijuana, and marijuana products.

Character Limit: 4,000



3. Record Keeping:

Provide procedures to ensure accurate record keeping, including protocols to ensure that quantities of marijuana and marijuana products purchased do not suggest re-distribution.

Character Limit: 4,000



4. Organizational Chart:

Provide a proposed organizational chart with job descriptions for all employees, managers, and volunteers. Job descriptions should include duties, responsibilities, authority, qualifications, and supervision.

Character Limit: 4,000

